UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

09/16/2004

McDermott, Will & Emery 28 State Street Boston, MA 02109 EXAMINER

LEE. SHUN K

\_\_\_, \_\_\_

PAPER NUMBER

ART UNIT

DATE MAILED: 09/16/2004

[	APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	10/081,655	02/22/2002	James T. Daly	56326-039 (IOPL-118)	2087

TITLE OF INVENTION: ABSORPTION SPECTROSCOPY APPARATUS AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$665	\$300	\$965	12/16/2004	

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further cor indicated unless corrected by maintenance fee notification	below or directed otherwise	Patent, advance or in Block 1, by (a	ders and noti ) specifying a	fication of maintenance fees a new correspondence addres	will be mailed to the current s; and/or (b) indicating a sepa	correspondence address as trate "FEE ADDRESS" for	
CURRENT CORRESPONDENC	E ADDRESS (Note: Use Block 1 for	any change of address)	Fee(s) Transmittal. T	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
	590 09/16/2004						
McDermott, Will 28 State Street Boston, MA 02109	·			I hereby certify that States Postal Service addressed to the Ma	ertificate of Mailing or Trans this Fee(s) Transmittal is bein, with sufficient postage for fir ail Stop ISSUE FEE address PTO (703) 746-4000, on the co	mission g deposited with the United st class mail in an envelope above, or being facsimile	
ŕ				transmitted to the US	PTO (703) 746-4000, on the c	(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE	1	FIRST NAMEI	D INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/081,655	02/22/2002		James 7	Γ. Daly	56326-039 (IOPL-118)	2087	
TITLE OF INVENTION: A	BSORPTION SPECTROSC	OPY APPARATU	S AND MET	HOD			
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nonprovisional	YES	\$665		\$300	\$965	12/16/2004	
EXAM	IINER	ART UN	IT	CLASS-SUBCLASS	]		
LEE, S	HUN K	2878		250-339070			
1. Change of correspondence CFR 1.363).	e address or indication of "F	ee Address" (37		ting on the patent front page,			
	lence address (or Change of 22) attached.		(1) the nat or agents (	mes of up to 3 registered pate OR, alternatively,	ent attorneys		
_	22) attached. tion (or "Fee Address" Indica		(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to				
PTO/SB/47; Rev 03-02 o Number is required.	or more recent) attached. Use	e of a Customer	2 registere	nd patent attorneys or agents. In ame will be printed.	f no name is 3		
3. ASSIGNEE NAME AND				,			
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified be 37 CFR 3.11. Completion	elow, no assignee of this form is NOT	data will app Γa substitute	ear on the patent. If an assig for filing an assignment.	gnee is identified below, the d	ocument has been filed for	
(A) NAME OF ASSIGN	EE	(B	) RESIDENC	CE: (CITY and STATE OR CO	DUNTRY)		
		ries (will not be pri	inted on the p	atent): 🗖 Individual 🗖 (	Corporation or other private gro	oup entity Government	
4a. The following fee(s) are  Issue Fee	enclosed:	4b	Payment of	· ·			
	mall entity discount permitte	ed)	☐ A check in the amount of the fee(s) is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.				
	Copies		The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to				
<del></del>			Deposit Acc	ount Number	(enclose an extra c	opy of this form).	
<ol> <li>Change in Entity Status</li> <li>a. Applicant claims SI</li> </ol>	(from status indicated above MALL ENTITY status. See		☐ b. Applic	ant is no longer claiming SM	ALL ENTITY status. See 37 C	FR 1.27(g)(2).	
The Director of the USPTO	is requested to apply the Issi	ue Fee and Publicat	ion Fee (if an	v) or to re-apply any previou	sly paid issue fee to the applica gistered attorney or agent; or the	ation identified above.	
Authorized Signature							
Typed or printed name				Registratio	on No		
This collection of information an application. Confidentiali	on is required by 37 CFR 1.3 ity is governed by 35 U.S.C.	11. The informatio	n is required to 1.14. This col	to obtain or retain a benefit by lection is estimated to take 12	the public which is to file (and minutes to complete, including	d by the USPTO to process) ng gathering, preparing, and	

submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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### UNITED STATES PATENT AND TRADEMARK OFFICE

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7:	590	09/16/2004		EXAM	INER	
McDermott, Will & Emery				LEE, SHUN K		
28 State Street Boston, MA 02109	)	•		ART UNIT	PAPER NUMBER	
•				2878		
			DATE MAILED: 09/16/200	4		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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McDermott, Will	& Emery		LEE, SHUN K	
28 State Street Boston, MA 02109			ART UNIT	PAPER NUMBER
,			2878	•

DATE MAILED: 09/16/2004

### Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because some fees will increase effective October 1, 2004. See Revision of Patent Fees for Fiscal Year 2005; Final Rule, 69 Fed. Reg. 52604, 52606 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

By a small entity (Sec. 1.2/(a))	\$685.00
By other than a small entity	\$1,370.00
(b) Issue fee for issuing a design patent:	, ,
By a small entity (Sec. 1.27(a))	\$245.00

By a small entity (Sec. 1.27(a))	\$330.00
By other than a small entity	\$660.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.